

(Unofficial Translation)

This translation is for the convenience of those unfamiliar with the Thai language.

Please refer to the Thai text for the official version

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**AIMC logo**

**Notification of the Association of Investment Management Companies**

**No. SorJorKor. KorChor. 1/2562**

**Re: Guidelines and Procedures for the Preparation of Provident Fund Management Reports for Clients**

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According to the Notification of the Office of the Securities and Exchange Commission No. SorNor. 87/2558 Re: Rules, Conditions, and Procedures for Management of Retail Funds, Mutual Funds for Accredited Investors, Mutual Funds for Institutional Funds, and Private Funds (clause 161), which require asset management companies to disclose information according to guidelines and procedures prescribed by the Association, with approval of the Office of the SEC. By virtue of clause 25 of the regulation of the Association of Investment Management Companies, the board of directors of the Association of Investment Management Companies hereby issues the regulation as follows:

Clause 1 Notification of the Association of Investment Management Companies No. SorJorKor. KorChor. 1/2547 Re: Guidelines and Procedures for the Preparation of Provident Fund Management Reports for the Customer, dated 1 March B.E.2547 shall be repealed.

Clause 2 In this Notification,

“Office” refers to the office of the Securities Exchange Commission.

“Association” refers to the Association of Investment Management Companies.

“Member” refers to a company which is a member of the Association of Investment Management Companies licensed to operate a securities business involving management of private funds that are provident funds.

“Provident fund” refers to a securities business involving management of private funds that are provident funds.

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“Provident Fund Committee” refers to a committee comprising the representatives elected by employees and representatives appointed by the employer. The Provident Fund Committee’s duty is to oversee the overall operations of the fund and shall have the power to appoint a management company; the committee shall also act on behalf of the fund in business transactions with external parties.

“Management Company” refers to a securities company licensed to operate a securities business involving the management of private funds.

“Monthly Report” refers to a provident fund management report produced by the asset management company to be delivered to the Provident Fund Committee at least once a month.

Clause 3 When producing the monthly report, the management company shall at least follow the example shown in the appendix attached this notification:

- (1) KorChor 1.1 Statement of Member - Employer Contributions and Benefits
- (2) KorChor 1.2 Statement of Net Asset Value

Clause 4 Management companies shall submit the reports described in clause 3 to the Provident Fund Committee within the 20<sup>th</sup> of the following month.

Clause 5 This notification shall be effective 1 April B.E.2562 onwards and all members shall strictly comply with this notification.

Announced on 21 March B.E.2562

-Signature -

(Mr. Vasin Vanichvoranun)

Chairman

Association of Investment Management Companies